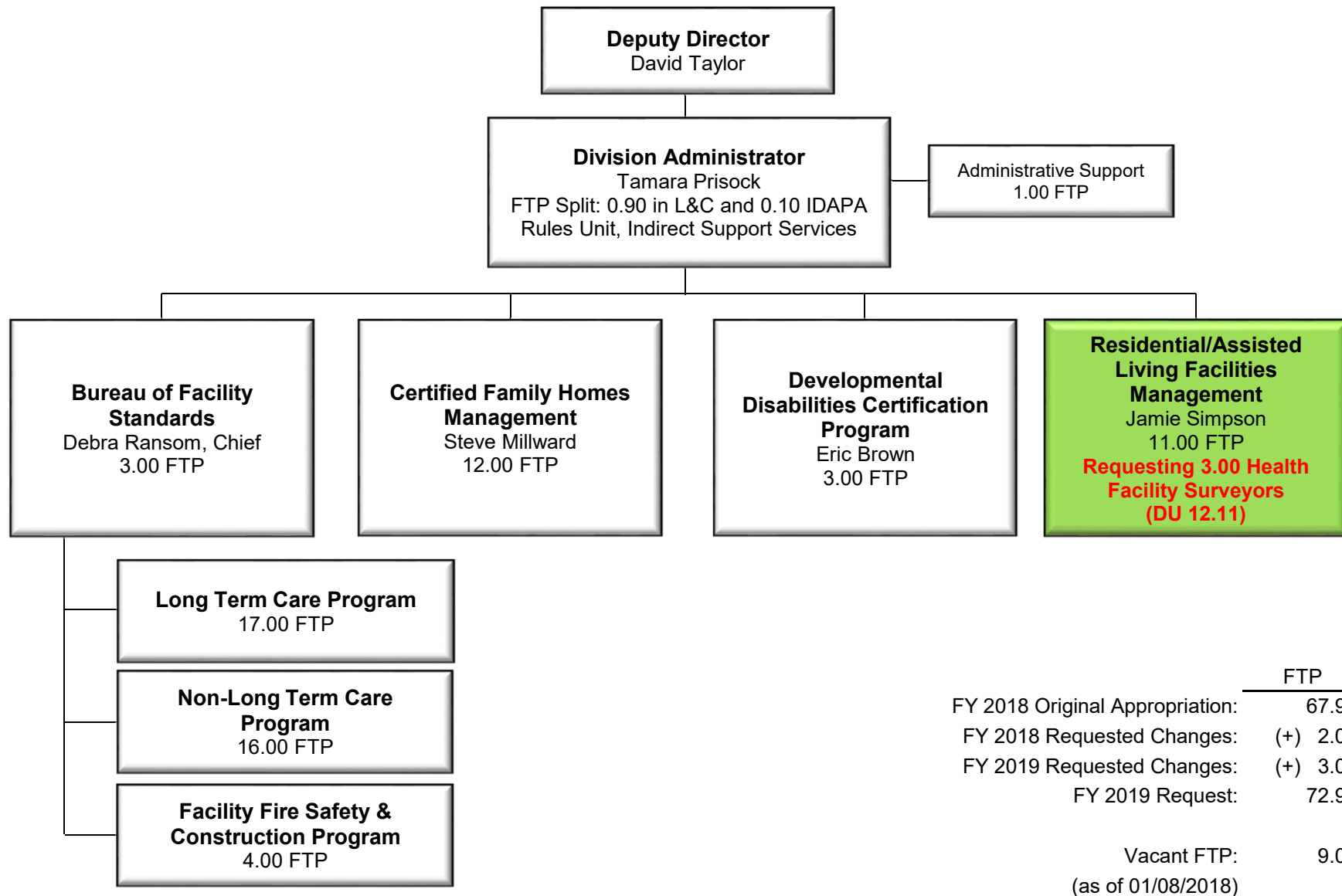


Licensing & Certification Organizational Chart



Licensing and Certification

FY 2017 Actual Expenditures by Division

			FTP	PC	OE	CO	T/B	LS	Total
0.30	FY 2017 Original Appropriation								
0220-03	Gen	0.00	1,529,400	275,300		0	0	0	1,804,700
0220-05	Ded	67.90	795,900	12,200		0	0	0	808,100
0220-02	Fed	0.00	3,531,500	1,374,600		0	0	0	4,906,100
Totals:		67.90	5,856,800	1,662,100		0	0	0	7,518,900
1.00	FY 2017 Total Appropriation								
0220-03	Gen	0.00	1,529,400	275,300		0	0	0	1,804,700
0220-05	Ded	67.90	795,900	12,200		0	0	0	808,100
0220-02	Fed	0.00	3,531,500	1,374,600		0	0	0	4,906,100
Totals:		67.90	5,856,800	1,662,100		0	0	0	7,518,900
1.21	Net Object Transfer								
0220-03	Gen	0.00	(205,400)	202,500	2,900		0	0	0
0220-02	Fed	0.00	(50,000)	47,000	3,000		0	0	0
Totals:		0.00	(255,400)	249,500	5,900		0	0	0
1.34	Net Transfer Between Programs								
0220-03	Gen	0.00	210,000	5,000	0		0	0	215,000
Totals:		0.00	210,000	5,000	0		0	0	215,000
1.61	Reverted Appropriation								
0220-03	Gen	0.00	(4,300)	(2,800)	(100)		0	0	(7,200)
0220-05	Ded	0.00	(9,300)	(12,200)	0		0	0	(21,500)
0220-02	Fed	0.00	(117,300)	(8,300)	(200)		0	0	(125,800)
Totals:		0.00	(130,900)	(23,300)	(300)		0	0	(154,500)
2.00	FY 2017 Actual Expenditures								
0220-03	Gen	0.00	1,529,700	480,000	2,800		0	0	2,012,500
Cooperative Welfare (General)			1,529,700	480,000	2,800		0	0	2,012,500
0220-05	Ded	67.90	786,600	0	0		0	0	786,600
Cooperative Welfare (Dedicated)			786,600	0	0		0	0	786,600
0220-02	Fed	0.00	3,364,200	1,413,300	2,800		0	0	4,780,300
Cooperative Welfare (Federal)			3,364,200	1,413,300	2,800		0	0	4,780,300
Totals:		67.90	5,680,500	1,893,300	5,600		0	0	7,579,400
Difference: Actual Expenditures minus Total Appropriation									
0220-03	Gen		300	204,700	2,800		0	0	207,800
Cooperative Welfare (General)			0.0%	74.4%	N/A		N/A	N/A	11.5%
0220-05	Ded		(9,300)	(12,200)	0		0	0	(21,500)
Cooperative Welfare (Dedicated)			(1.2%)	(100.0%)	N/A		N/A	N/A	(2.7%)
0220-02	Fed		(167,300)	38,700	2,800		0	0	(125,800)
Cooperative Welfare (Federal)			(4.7%)	2.8%	N/A		N/A	N/A	(2.6%)
Difference From Total Approp			(176,300)	231,200	5,600		0	0	60,500
Percent Diff From Total Approp			(3.0%)	13.9%	N/A		N/A	N/A	0.8%

FORM B11: REVENUE

Agency/Department: Department of Health & Welfare
 Program (If applicable) Licensing & Certification

Request for Fiscal Year: 2019
Agency Number: 270
Budget Unit (If Applicable): HWLC
Function/Activity Number (If Applicable): 58

Original Request Date: September 1, 2017
Revision Request Date: _____

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Fund No.	Fund Detail No.	Fund Name	Significant Assumption Number	Summary Object Code	Revenue Source Description -Summary Level	FY 2015 Actual Revenue	FY 2016 Actual Revenue	FY 2017 Actual Revenue	FY 2018 Estimated Revenue	FY 2019 Estimated Revenue
0220	05	Receipts		1206	Applicant/Recipient Fee	723,900	747,800	770,100	774,000	785,000
				1556	Individual Payments	21,000	13,000	16,500	16,000	16,000
0220	05	Receipts			FUND TOTAL	\$744,900	\$760,800	\$786,600	\$790,000	\$801,000
0220	02	Federal Funds			Medicaid Title 18 Admin	1,477,200	1,623,600	1,933,600	1,663,900	1,747,100
					Medicaid Title 19 Admin	2,108,300	2,191,000	2,846,700	2,553,000	2,680,600
0220	02	Federal Funds			FUND TOTAL	\$3,585,500	\$3,814,600	\$4,780,300	\$4,216,900	\$4,427,700
GRAND TOTAL						\$4,330,400	\$4,575,400	\$5,566,900	\$5,006,900	\$5,228,700
SIGNIFICANT ASSUMPTIONS										
Fund No.	Fund Detail No.	Fund Name	Significant Assumption Number	Provide Details for any Significant Assumptions Listed						FY 2019 Estimated Impact
										\$0
										\$0
										\$0
										\$0
										\$0
										\$0

Licensing and Certification

FY 2018 JFAC Action

	FTP	Gen	Ded	Fed	Total
FY 2017 Original Appropriation	67.90	1,804,700	808,100	4,906,100	7,518,900
FY 2017 Total Appropriation	67.90	1,804,700	808,100	4,906,100	7,518,900
FY 2017 Estimated Expenditures	67.90	1,804,700	808,100	4,906,100	7,518,900
Removal of Onetime Expenditures	0.00	(88,300)	(23,900)	(899,000)	(1,011,200)
FY 2018 Base	67.90	1,716,400	784,200	4,007,100	6,507,700
Benefit Costs	0.00	14,100	7,400	32,400	53,900
Statewide Cost Allocation	0.00	200	0	300	500
Change in Employee Compensation	0.00	35,700	18,600	82,600	136,900
FY 2018 Program Maintenance	67.90	1,766,400	810,200	4,122,400	6,699,000
Line Items					
9. Surveyor Pay Increase	0.00	61,300	0	135,000	196,300
27. New Surveyors - Asst Living Facilities	0.00	0	0	0	0
Cybersecurity Insurance	0.00	200	0	200	400
FY 2018 Total	67.90	1,827,900	810,200	4,257,600	6,895,700
Chg from FY 2017 Orig Approp.	0.00	23,200	2,100	(648,500)	(623,200)
% Chg from FY 2017 Orig Approp.	0.0%	1.3%	0.3%	(13.2%)	(8.3%)

SENATE BILL NO. 1193

SECTION 12. REQUIRING BIENNIAL REPORTS ON FACILITY LICENSING AND CERTIFICATION. It is the intent of the Legislature that the Department of Health and Welfare, Licensing and Certification Program, provide biennial reports to the Legislative Services Office and the Division of Financial Management on the status of facility licensing and certifications as well as staff workload and caseload issues. For the past several years, the program has noted staffing issues related to retention, which in turn has created a large backlog of facility inspections and licensures. The format of the report, and the information included therein, shall be determined by the Legislative Services Office and the Division of Financial Management. The first report shall be submitted no later than December 31, 2017, and the second report shall be submitted no later than June 30, 2018.

This report was provided to the committee, through email, on January 26, 2018.

The report will be posted on the Session Record.

Licensing and Certification

Historical Summary

OPERATING BUDGET	FY 2017 Total App	FY 2017 Actual	FY 2018 Approp	FY 2019 Request	FY 2019 Gov Rec
BY FUND CATEGORY					
General	1,804,700	2,012,500	1,827,900	1,967,300	1,995,400
Dedicated	808,100	786,600	810,200	805,200	818,400
Federal	4,906,100	4,780,300	4,257,600	4,555,800	4,620,600
Total:	7,518,900	7,579,400	6,895,700	7,328,300	7,434,400
Percent Change:		0.8%	(9.0%)	6.3%	7.8%
BY OBJECT OF EXPENDITURE					
Personnel Costs	5,856,800	5,680,500	5,986,000	6,387,800	6,493,900
Operating Expenditures	1,662,100	1,893,300	909,700	933,000	933,000
Capital Outlay	0	5,600	0	7,500	7,500
Total:	7,518,900	7,579,400	6,895,700	7,328,300	7,434,400
Full-Time Positions (FTP)	67.90	67.90	67.90	72.90	72.90

Division Description

Licensing and Certification became a new budgeted program in FY 2013. This program surveys, inspects, licenses, and certifies healthcare facilities that require certification or licensure by either state or federal requirements. The program investigates complaints, conducts on-site surveys, and takes appropriate licensure action to protect the health and safety of vulnerable people receiving health-related services and support.

Licensing and Certification

Comparative Summary

Decision Unit	Agency Request			Governor's Rec		
	FTP	General	Total	FTP	General	Total
FY 2018 Original Appropriation	67.90	1,827,900	6,895,700	67.90	1,827,900	6,895,700
Noncognizable Funds and Transfers	2.00	58,100	193,800	2.00	58,100	193,800
FY 2018 Estimated Expenditures	69.90	1,886,000	7,089,500	69.90	1,886,000	7,089,500
Base Adjustments	0.00	0	0	0.00	0	0
FY 2019 Base	69.90	1,886,000	7,089,500	69.90	1,886,000	7,089,500
Benefit Costs	0.00	(23,300)	(89,600)	0.00	(21,800)	(83,800)
Statewide Cost Allocation	0.00	(300)	(700)	0.00	(300)	(700)
Change in Employee Compensation	0.00	13,500	52,200	0.00	37,700	145,300
FY 2019 Program Maintenance	69.90	1,875,900	7,051,400	69.90	1,901,600	7,150,300
11. New Surveyors - Asst Living Facilities	3.00	91,400	276,900	3.00	93,800	284,100
FY 2019 Total	72.90	1,967,300	7,328,300	72.90	1,995,400	7,434,400
Change from Original Appropriation	5.00	139,400	432,600	5.00	167,500	538,700
% Change from Original Appropriation		7.6%	6.3%		9.2%	7.8%

Licensing and Certification

Budget by Decision Unit	FTP	General	Dedicated	Federal	Total
FY 2018 Original Appropriation	67.90	1,827,900	810,200	4,257,600	6,895,700

Noncognizable Funds and Transfers

This decision unit transfers 2.00 FTP and \$193,800 from Child Welfare to Licensing and Certification to align the Children's Licensing Unit (CLU) with other licensing and regulatory functions. The department moved the CLU in 2016 to be physically located at the Licensing and Certification division where the staff were managed by the licensing division through a memorandum of understanding. The budgetary control continued to reside in the Child Welfare Division. This request would align the operations and appropriation. The move was originally made to separate the regulatory function from the operations portion of Child Welfare. This transfer was approved by the Division of Financial Management on October 23, 2017.

Agency Request	2.00	58,100	0	135,700	193,800
Governor's Recommendation	2.00	58,100	0	135,700	193,800

FY 2018 Estimated Expenditures

Agency Request	69.90	1,886,000	810,200	4,393,300	7,089,500
Governor's Recommendation	69.90	1,886,000	810,200	4,393,300	7,089,500

Base Adjustments

This decision unit restores 2.00 FTP and \$193,800 to the Child Welfare Program. This decision unit also transfers the same positions back to Licensing and Certification on an ongoing basis to align the Children's Licensing Unit (CLU) with other licensing and regulatory functions.

Agency Request	0.00	0	0	0	0
<i>Recommended by the Governor.</i>					
Governor's Recommendation	0.00	0	0	0	0

FY 2019 Base

Agency Request	69.90	1,886,000	810,200	4,393,300	7,089,500
Governor's Recommendation	69.90	1,886,000	810,200	4,393,300	7,089,500

Benefit Costs

Employer-paid benefit changes include a 14.6% reduction (or \$1,910 per eligible FTP) for health insurance, bringing the total appropriation to \$11,190 per FTP. Also included are a 6.8% increase for life insurance, a 5.5% increase for PERSI contributions, and adjustments to workers' compensation that vary by agency.

Agency Request	0.00	(23,300)	(12,000)	(54,300)	(89,600)
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The Governor recommends \$11,650 per eligible FTP for health insurance, which is a decrease of \$1,450, or 11%, from the previous year; a two-month employer and employee premium holiday; and a transfer of \$13.1 million from health insurance reserves to the General Fund. This recommendation also reflects the PERSI Board's decision to not increase the employer contribution for FY 2019.

Governor's Recommendation	0.00	(21,800)	(11,200)	(50,800)	(83,800)
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Statewide Cost Allocation

This request includes adjustments to recover the cost of services provided by other agencies in accordance with federal and state guidelines on cost allocation. Risk management costs will decrease by \$700.

Agency Request	0.00	(300)	0	(400)	(700)
Governor's Recommendation	0.00	(300)	0	(400)	(700)

Change in Employee Compensation

For calculation purposes, agencies were directed to include the cost of a 1% salary increase for permanent and temporary employees.

Agency Request	0.00	13,500	7,000	31,700	52,200
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The Governor recommends a 3% increase in employee compensation, distributed on merit. He does not recommend a compensation increase for group and temporary positions.

Governor's Recommendation	0.00	37,700	19,400	88,200	145,300
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Licensing and Certification

Budget by Decision Unit	FTP	General	Dedicated	Federal	Total
FY 2019 Program Maintenance					
Agency Request	69.90	1,875,900	805,200	4,370,300	7,051,400
<i>Governor's Recommendation</i>	<i>69.90</i>	<i>1,901,600</i>	<i>818,400</i>	<i>4,430,300</i>	<i>7,150,300</i>

11. New Surveyors - Asst Living Facilities

The department requests 3.00 FTP and \$276,900 to hire three new surveyors to work with assisted living facilities (ALF). ALFs provide necessary supervision, personal assistance, meals, and lodging to three or more elderly adults not related to the owner. This type of facility is one of the more popular choices for Idahoans as there are more residents in ALFs than in nursing homes, certified family homes, and intermediate care facilities combined. The population of an ALF consists of about 80% being elderly, 33% having Alzheimer's disease or dementia, 13% having a different mental illness, and 3% having an intellectual disability. Currently there are 278 licensed facilities that serve patients in 360 buildings and 10,005 licensed beds. In the past three years, the number of occupied beds has averaged about 75% of capacity, statewide. The licensing and oversight of the ALFs is done by nine permanent surveyors and temporary staff as needed and as available. Work conducted by staff includes initial surveys, complaint investigations and follow-ups, recertification of facilities and buildings, phone support, and technical assistance.

This request is to hire three individuals at \$29.83 per hour, plus benefits. To cover staffing shortages, the department will hire temporary staff at salary only rates ranging from \$26 per hour to \$30.46 per hour. The actual rate paid varies by candidate and applicable skills and degrees. [Ongoing]

Agency Request	3.00	91,400	0	185,500	276,900
<i>Recommended by the Governor with changes for benefits and compensation.</i>					
<i>Governor's Recommendation</i>	<i>3.00</i>	<i>93,800</i>	<i>0</i>	<i>190,300</i>	<i>284,100</i>

FY 2019 Total					
Agency Request	72.90	1,967,300	805,200	4,555,800	7,328,300
<i>Governor's Recommendation</i>	<i>72.90</i>	<i>1,995,400</i>	<i>818,400</i>	<i>4,620,600</i>	<i>7,434,400</i>
Agency Request					
Change from Original App	5.00	139,400	(5,000)	298,200	432,600
% Change from Original App	7.4%	7.6%	(0.6%)	7.0%	6.3%
<i>Governor's Recommendation</i>					
<i>Change from Original App</i>	<i>5.00</i>	<i>167,500</i>	<i>8,200</i>	<i>363,000</i>	<i>538,700</i>
<i>% Change from Original App</i>	<i>7.4%</i>	<i>9.2%</i>	<i>1.0%</i>	<i>8.5%</i>	<i>7.8%</i>